

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

TYLER et al.

Serial No.

10/735,068

Filing Date

December 11, 2003

Title

COMPOSITION FOR

CONDITIONING WATER USED

IN AQUARIUMS

Examiner

KUGEL, Timothy J.

Group Art Unit

1712

#### REQUEST FOR AMENDMENT TO CORRECT INVENTORSHIP **PURSUANT TO 37 C.F.R. §1.48(a)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

This amendment and request pursuant to 37 C.F.R. §1.48(a) is to correct the inadvertent omission of a joint inventor.

Applicant requests that the above-entitled application be amended to correct inventorship to add a joint inventor, Ian Cottrell.

In support of this request, Applicant also submits the following documents:

1. A statement pursuant to 37 C.F.R. §1.48(a) from the previously unnamed inventor that the error in inventorship occurred without deceptive intention on his part;

- 2. A Substitute Declaration signed by the previously named inventors and the newly named inventor in accordance with 37 C.F.R. §1.63;
- 3. Assent of Assignee to Addition of Inventor; and
- 4. Fee pursuant to C.F.R. §1.17(i) (\$130.00).

Respectfully submitted,

GOTTLIEB, RACKMAN & REISMAN, P.C. Attorneys for Applicant 270 Madison Avenue New York, New York 10016

Phone: (212) 684-3900 Fax: (212) 684-3999

July 12, 2005 Date

Jeffrey M. Kaden (Reg. No. 31,268)

## **CERTIFICATE OF MAILING UNDER 37 CFR**

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class mail service in a postpaid envelope addressed to Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on 7/12/05.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

TYLER et al.

Serial No.

10/735,068

Filing Date

December 11, 2003

Title

**COMPOSITION FOR CONDITIONING** 

WATER USED IN AQUARIUMS

Examiner

KUGEL, Timothy J.

**Group Art Unit** 

1712

#### STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED AS AN INVENTOR PURSUANT TO 37 C.F.R. § 1.48(a)(2)

I, Ian Cottrell, the person being added as an inventor by the request being submitted to correct the inventorship of this patent application do hereby make this statement that the error in omitting my name as an inventor in this patent application occurred without any deceptive intention on my part.

in Cottell

Ian Cotrell

Cottell

Cottell

As a below named inventor, I hereby declare that:

(Application No.)

| My residence, post office  | address and citizenship are as stated   | below next to my name.   |                         |                       |
|--|---|--|-------------------------|-----------------------|
| (if plural names are listed be   | , first and sole inventor (if only one nan<br>elow) of the subject matter which is cla<br>R CONDITIONING WATER USED IN A  | imed and for which a patent is soug  | the on the              |                       |
| (check one) I is attached  | ed hereto. 🛛 was filed on Decemb  | er 11, 2003, as United States Ap   | olication §             | Serial No.            |
| •  | tional Application No.  |  |                         | (if                   |
|  | e reviewed and understand the content amendment referred to above.  | nts of the above identified specification  | ation, incl             | uding the             |
| Regulations, §1.56, including  | disclose information which is material g for continuation-in-part applications, olication and the national or PCT international or PCT | material information which became  | available               | between               |
| application(s) for patent, inv<br>which designated at least o<br>below any foreign applicati | ority benefits under Title 35, United Sta<br>rentor's or plant breeder's rights certifi-<br>ne country other than the United Stati<br>on(s) for patent, inventor's or plant be<br>ate before that of the application on wh  | cate(s), or 365(a) of any PCT inteness of America, listed below, and I hereder's rights certificate(s), or any | national a<br>nave also | pplication identified |
| Prior Foreign Application(s)   |   |  | Priority                | claimed               |
|  |   |  |                         |                       |
| (Number)   | (Country)   | Day/month/year filed   | Yes                     | No                    |
|  |   |  |                         |                       |
| (Number)   | (Country)   | Day/month/year filed   | Yes                     | No                    |
|  |   |  |                         |                       |
| (Number)   | (Country)   | Day/month/year filed   | Yes                     | No                    |
|  |   |  |                         |                       |
| (Number)   | (Country)   | Day/month/year filed   | Yes                     | No                    |
| I hereby claim the benefit listed below:   | t under Title 35, United States Code, §   | 119(e) of any United States provis   | ional app               | lication(s)           |
| (Application No.)  |   | (Filing Date)  |                         | <del></del>           |
| (Application No.)  |   | (Filing Date)  |                         |                       |
| (Application No.)  |   | (Filing Date)  |                         |                       |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, Insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| (Application No.)                      | (Filing date)                             | (Status: patented, pending, abandoned)  |
|--|---|---|
| (Application No.)                      | (Filing date)                             | (Status: patented, pending, abandoned)  |
| (Application No.)                      | (Filing date)                             | (Status: patented, pending, abandoned)  |
| information and belief are believed to | be true; and further that these statement | are true and that all statements made on swere made with the knowledge that willful |

And I hereby appoint, jointly and severally, all of the practitioners associated with **Customer Number 22440** as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Direct all correspondence and telephone calls to <u>Jeffrey M. Kaden</u> at the address and telephone number associated with the Customer Number identified above.

the United States Code and that such willful false statements may jeopardize the validity of the application or any patent

| Full name of sole or fl  | rst inventor TYLER, Michael                             |        |              |
|--------------------------|---|--------|--------------|
| Inventor's Signature     | on R. Tile  | Date   | July 5, 2005 |
| Residence                | 280 River Road, Apt. 114A, Piscataway, New Jersey 08854 | •      |              |
| Citizenship              | U.S.  |        |              |
| Post Office Address      | same  |        |              |
| Full name of second i    | oint Inventor, if any FALCONE, Roberto                  |        |              |
| Inventor's Signature     | 4 Celen   | Date   | July 5, 2005 |
| Residence                | 1/34 Donato Drive, Little Falls, New Jersey 07424       |        | 7 -, 200     |
| Citizenship              | U.S.  |        |              |
| Post Office Address      | same  |        |              |
| Full name of third join  | nt inventor, if, any REILLY, Joshua                     |        |              |
| Inventor's Signature     | Closhy O River  | Date   | Vely 5, 2105 |
| Residence                | 29 Pineoaka Road / Jackson / New Jersey 08527           | _ Date | 1000         |
| Citizenship              | U.S.  |        |              |
| Post Office Address      | same  |        |              |
| Full name of fourth in   | int inventor, if any COTTRELL, lan                      |        |              |
| Inventor's Signature     | San W. Cottell  | Date   | July 5, 2005 |
| Residence                | 10 Spencer Road, Basking Ridge, New Jersey 07920        | Dale   | 314 3, 2005  |
| Citizenship              | U.K.  |        |              |
| Post Office Address      | same  |        |              |
| 1 OSt Office / Edices    | Same  |        |              |
| Full name of fifth joint | t inventor, if any                                      |        |              |
| Inventor's Signature     |   | Date   |              |
| Residence                |   |        |              |
| Citizenship              |   |        |              |
| Post Office Address      |   |        |              |

LF1A.0604

issued thereon.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : TYLER et al.

Serial No. : 10/735,068

Filing Date : December 11, 2003

Title : COMPOSITION FOR CONDITIONING

WATER USED IN AQUARIUMS

Examiner : KUGEL, Timothy J.

Group Art Unit : 1712

#### **ASSENT OF ASSIGNEE TO ADDITION OF INVENTOR**

The Hartz Mountain Corporation, a corporation organized under the laws of the State of New Jersey, located at 400 Plaza Drive, Secaucus, New Jersey 07094, as the Assignee of the entire right, title and interest to the invention that is the subject of this patent application, hereby consents to the correction of inventorship filed herewith.

The Hartz Mountain Corporation has also attached (1) a true copy of the Assignment from the originally named inventors, Michael Tyler, Roberto Falcone and Joshua Reilly to The Hartz Mountain Corporation which was recorded on December 11, 2003 and can be located at Reel No. 014800, Frame No. 0943 and has Document Identification No. 102629483A; and (2) a true copy of the Assignment from the inadvertently unnamed inventor, Ian Cottrell, to The Hartz Mountain Corporation, which has been submitted to the Assignment Division for recordation, along with Form PTO 1595.

A statement pursuant to 37 C.F.R. §3.73 is attached hereto as well.

7/7/05

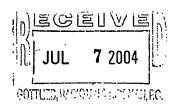
Max C. Marx, Esq.

Corporate General Counsel & Regulatory

Affairs & Corporate Secretary of The Hartz Mountain Corporation







#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

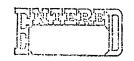
JULY 01, 2004

PTAS

GOTTLIEB, RACKMAN & REISMAN, P.C. JEFFREY M. KADEN
270 MADISON AVE., 8TH FL.
NEW YORK, NY 10016



\*102629483A\*



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/11/2003

REEL/FRAME: 014800/0943

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TYLER, MICHAEL

DOC DATE: 12/02/2003

**ASSIGNOR:** 

FALCONE, ROBERTO

DOC DATE: 12/02/2003

ASSIGNOR:

REILLY, JOSHUA

DOC DATE: 12/02/2003

ASSIGNEE:

HARTZ MOUNTAIN CORPORATION, THE

400 PLAZA DRIVE

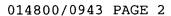
SECAUCUS, NEW JERSEY 07094

SERIAL NUMBER: 10735068

FILING DATE: 12/11/2003

PATENT NUMBER: ISSUE DATE:

TITLE: COMPOSITION FOR CONDITIONING WATER USED IN AQUARIUMS



DIANE RUSSELE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



## CORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

| 102629483 | 1 | 02 | 62 | 94 | 83 |
|-----------|---|----|----|----|----|
|-----------|---|----|----|----|----|

**PATENTS ONLY** 

| 102629483<br>■ Tab settings □□□□□ ▼  | <b>V V V</b>   |
|--|--|
|  | Please record the attached original documents or copy thereof.   |
| 1. Name of conveying party(ies):  TYLER, Michael  FALCONE, Roberto  REILLY, Joshua   | Please record the attached original documents or copy thereof.  2. Name and address of receiving party(ies)  Name: The Hartz Mountain Corporation  Internal Address: |
| Additional name(s) of conveying party(ies) attached? Yes VNo   | <u> </u>   |
| 3. Nature of conveyance:  Assignment Merger  Security Agreement Change of Name  Other  | Street Address: 400 Plaza Drive  |
| 12/02/03<br>Execution Date:  | City: Secaucus State: NJ Zip: 07094  Additional name(s) & address(es) attached? Yes No   |
| 4. Application number(s) or patent number(s):  If this document is being filed together with a new appli  A. Patent Application No.(s)                                   | ication, the execution date of the application is: 11/18/03  B. Patent No.(s)  |
|  | tached? Yes No   |
| 5. Name and address of party to whom correspondence concerning document should be mailed:  Name:  Jeffrey M. Kaden  Internal Address:  Gottlieb, Rackman & Reisman, P.C. | 6. Total number of applications and patents involved:  7. Total fee (37 CFR 3.41)\$40.00  Finclosed  Authorized to be charged to deposit account                     |
| Street Address: 270 Madison Ave., 8th FI.  City: New York State: NY Zip: 10016   | 8. Deposit account number:  07-1730  |
|  |  |
| 9. Signature.  Jeffrey M. Kaden  | 12/11/03   |
| Name of Person Signing   | Signature Date   |

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

# Assign—ent of Executed Patent —plication

Date: December 23=2003

Assignor: TYLER, Michael

Address: 280 River Rd., Apt. 114A

Piscataway, NJ 08854

Assignor: FALCONE, Roberto
Address: 134 Donato Drive

Little Falls, NJ 07424

Assignor: REILLY, Joshua
Address: 29 Pineoaka Rd.
Jackson, NJ 08527

Assignee: The Hartz Mountain Corporation

Address: 400 Plaza Drive

Secaucus, New Jersey 07094

If there is more than one Assignor or Assignee, the words "Assignor" and "Assignee" shall include them.

This assignment relates to a United States patent application signed by Assignor on November 18 2003 and entitled

#### COMPOSITION FOR CONDITIONING WATER USED IN AQUARIUMS

This patent application is called "the Patent Application".

Assignor has received Ten Dollars (\$10.00) and other good and valuable consideration for this assignment.

Assignor assigns to Assignee all of Assignor's right, title and interest in the Patent Application, the invention described and claimed in the Patent Application, and all patents that may issue based on the invention and on the Patent Application in the United States and in every foreign country. Assignor also assigns to Assignee all priority rights in the Patent Application.

In those countries where permitted, the Assignor authorizes the Assignee to apply for patents for the invention directly in Assignee's name.\* Assignor authorizes the Commissioner of Patents and Trademarks or other governmental authority to issue all patents for the invention directly to Assignee.

Assignor states that Assignor has the right to grant to Assignee the rights which are assigned by this assignment. Assignor will sign any additional documents as may be needed to carry out the purpose of this assignment.

This assignment is binding on all parties who lawfully succeed to the rights of or take the place of Assignor or Assignee.

The undersigned hereby grant(s) to the firm of Gottlieb, Rackman & Reisman, 270 Madison Avenue, New York, New York 10016-0601, the power to insert on this assignment any further identification of the Patent Application which may be necessary or desirable in order to comply with the rules of the United States Patent and

<sup>\*</sup> Strike italicized sections <u>only</u> if foreign rights are not intended to be assigned.

| Trademark Office | concerning rec | Cation of | thic document |
|------------------|----------------|-----------|---------------|
| Trademark Office | concerning rec | Tanon or  | uns document. |
| • •              | _              | 1 )       |               |



The effective date of this assignment is the date at the top of this assignment.

| SIGNATURE(S)   | DATE                       | TYPED OR<br>PRINTED NAME(S)   |
|--|----------------------------|---|
| Milas Middle Initial Last  | l 12/2/03                  | MICHAEL TYLER   |
| First Name Middle Initial Last   | 12/2/03                    | ROBERTO FALCONE   |
| John O. Rill   | 12/2/03<br>Name            | JOSHUA REILLY   |
| First Name Middle Initial Last STATE OF New Jersey   | Name                       | Ê OF  |
| COUNTY OF Essey  | COUN                       | ITY OF A Section 1  |
| on 12/2/03 before me person<br>chael R. Tyler, Roberto<br>d Joshva Relly   | onally came On Salcon      | before me personally came   |
| to me known to be the Assignor(s) described the foregoing Assignment, and the acknowledged to one execution of the same.         | e Assignor(s) duly execute | known to be the Assignor(s) described in and who ed the foregoing Assignment, and the Assignor(s) duly vledged to me execution of the same. |
| Notary Fublic  DEBRA A. RODRIGH  | UEZ                        | Notary Public   |
| STATE OF Commission Expires 4/24   | ERSEY                      | E OF  |
| COUNTY OF  | COUN                       | TY OF   |
| On before me persor  | nally came                 | On before me personally came  |
| o me known to be the Assignor(s) descri<br>xecuted the foregoing Assignment, and the<br>cknowledged to me execution of the same. | Assignor(s) duly execute   | known to be the Assignor(s) described in and who d the foregoing Assignment, and the Assignor(s) duly ledged to me execution of the same.   |
| Notary Public  | · · ·                      | Notary Public   |

# 316 655 JUL 15 2015 Sassignment of Executed Patent Application

Date: July

Assignor: Address:

IAN COTTRELL
10 Spencer Road

Basking Ridge, New Jersey 07920

**United States** 

Assignee:

THE HARTZ MOUNTAIN CORPORATION

Address:

**400 Plaza Drive** 

Secaucus, New Jersey 07094

**United States** 

If there is more than one Assignor or Assignee, the words "Assignor" and "Assignee" shall include them.

This assignment relates to a United States patent application signed by Assignor and entitled

#### COMPOSITION FOR CONDITIONING WATER USED IN AQUARIUMS

This patent application was filed in the United States Patent and Trademark Office on <u>December 11</u>, <u>2003</u>, and received Serial No. <u>10/735,068</u>.

Assignor has received Ten Dollars (\$10.00) and other good and valuable consideration for this assignment.

Assignor assigns to Assignee all of Assignor's rights, title and interest in the Patent Application, the invention described and claimed in the Patent Application, and all patents that may issue based on the invention and on the Patent Application in the United States and in every foreign country. Assignor also assigns to Assignee all priority rights in the Patent Application.

In those countries where permitted, the Assignor authorizes the Assignee to apply for patents for the invention directly in Assignee's name. Assignor authorizes the Commissioner of Patents and Trademarks or other governmental authority to issue all patents for the invention directly to Assignee.

Assignor states that Assignor has the right to grant to Assignee the rights that are assigned by this assignment. Assignor will sign any additional documents as may be needed to carry out the purpose of this assignment.

This assignment is binding on all parties who lawfully succeed to the rights of or take the place of Assignor or Assignee.

The undersigned hereby grant(s) to the firm of Gottlieb, Rackman & Reisman, 270 Madison Avenue, New York, New York 10016-0601, the power to insert on this assignment any further identification of the Patent Application which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office concerning recordation of this document.

<sup>\* \*</sup> Strike italicized sections <u>only</u> if foreign rights are not intended to be assigned.

| TYPED O | R PRINTED | NAME(S) |
|---------|-----------|---------|
|---------|-----------|---------|

DATE

SIGNATURE(S)

| Ian        | ω.             | Cottrell  | July 5, 2005  | Jan W. Cottall |
|------------|----------------|-----------|---------------|----------------|
| First Name | Middle Initial | Last Name | <del>-5</del> |                |

STATE OF New Jersey COUNTY OF HUSSEN

On July 5, 2005 before me personally came

Ian W. Cottrell

to me known to be the Assignor(s) described in and who executed the foregoing Assignment, and the Assignor(s) duly acknowledged to me execution of the same.

Notary Public

SAMUEL SALEEM NOTARY PUBLIC OF NEW JERSEY

MY COMMISSION EXPIRES February 5, 2009

PTO/SB/96 (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

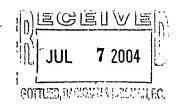
#### **STATEMENT UNDER 37 CFR 3.73(b)**

| ASDIGATOP atent Owner: _T  | he Hartz Mountain   | Corporation  |  |
|--|---|--|--|
| Application No./Patent No.:  | 10/735,068  | Filed/Issue Date:  | December 11, 2003  |
| Entitled: COMPOSITION  |   |  |  |
| The Hartz Mountain Co  | rporation   | a cornoration or   | ganized under the laws of the State of New Jerse   |
| (Name of Assignee)   |   | (Type of Assignee,                                       | e.g., corporation, partnership, university, government agency, etc.)                         |
| states that it is:  1.  the assignee of the ending the end the e | ntire right, title, and in                                  | terest; or   |  |
| 2.  an assignee of less the The extent (by percer in the patent application/patent)  | ntage) of its ownership                                     | o interest is ————                                       | .%   |
| A. [X] An assignment from t in the United States Pattached.  | he inventor(s) of the patent and Trademark                  | patent application/pater<br>Office at Reel <u>014800</u> | nt identified above. The assignment was recorded, Frame 0943, or for which a copy thereof is |
| OR   |   |  |  |
| B. [ ] A chain of title from th below:   | e inventor(s), of the p                                     | atent application/paten                                  | t identified above, to the current assignee as shown   |
| Reel  2. From: The document  | was recorded in the   | To:<br>United States Patent ar                           | hich a copy thereof is attached.   |
| 3. From:<br>The document<br>Reel   | was recorded in the l                                       | To:<br>United States Patent ar                           | nd Trademark Office at<br>or which a copy thereof is attached.                               |
|  | y ( <i>i.e.</i> , the original ass<br>ssignment Division in | signment document or a<br>accordance with 37 CF          | tached.<br>a true copy of the original document)<br>R Part 3, if the assignment is to be     |
| The undersigned (whose title July 11, 2005   | e is supplied below) is                                     | s authorized to act on b                                 | ehalf of the assignee.  Jeffrey M. Kaden   |
| Date   |   | 1  | Typed or printed name  |
| (212) 684-3900   |   |  |  |
| Telephone nun  | nber  | [ ]  | Signature  |
|  |   | $\mathcal{O}$  | Reg. No. 31,268  |
|  |   |  | Title  |

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.







#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

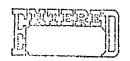
JULY 01, 2004

**PTAS** 

GOTTLIEB, RACKMAN & REISMAN, P.C. JEFFREY M. KADEN 270 MADISON AVE., 8TH FL. NEW YORK, NY 10016



\*102629483A\*



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/11/2003

REEL/FRAME: 014800/0943

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TYLER, MICHAEL

DOC DATE: 12/02/2003

ASSIGNOR:

FALCONE, ROBERTO

DOC DATE: 12/02/2003

ASSIGNOR:

REILLY, JOSHUA

DOC DATE: 12/02/2003

ASSIGNEE:

HARTZ MOUNTAIN CORPORATION, THE

400 PLAZA DRIVE

PATENT NUMBER:

SECAUCUS, NEW JERSEY 07094

SERIAL NUMBER: 10735068

FILING DATE: 12/11/2003

ISSUE DATE:

TITLE: COMPOSITION FOR CONDITIONING WATER USED IN AQUARIUMS



014800/0943 PAGE 2

DIANE RUSSELE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS







# PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

| 102629483         |   | PAIEN | 13 |
|-------------------|---|-------|----|
| ah settings 🗀 🖃 🐷 | • | ▼     |    |

| Tab settings 🔾 🔾 🔻  |  |
|---|--|
| To the Honorable Commissioner of Patents and Trademarks:  | Please record the attached original documents or copy thereof.                                       |
| 1. Name of conveying party(ies):  TYLER, Michael  FALCONE, Roberto  REILLY, Joshua  | 2. Name and address of receiving party(ies)  Name: The Hartz Mountain Corporation  Internal Address: |
| Additional name(s) of conveying party(ies) attached? Yes No   | 6.7  |
| 3. Nature of conveyance:  |  |
| Assignment Merger   |  |
| Security Agreement Change of Name   | Street Address: 400 Plaza Drive  |
| Other   |  |
| 12/02/03  | City:_SecaucusState:_NJ_Zip:_07094   |
| Execution Date:   | Additional name(s) & address(es) attached? Yes V No  |
| 4. Application number(s) or patent number(s):  If this document is being filed together with a new applic  A. Patent Application No.(s) | cation, the execution date of the application is: 11/18/03  B. Patent No.(s)                         |
| Additional numbers atta   | ached? Yes No  |
| Name and address of party to whom correspondence concerning document should be mailed:  | 6. Total number of applications and patents involved:  |
| Name:Jeffrey M. Kaden   | 7. Total fee (37 CFR 3.41)\$\\\_\$   |
| Internal Address:   | ✓ Enclosed   |
| Gottlieb, Rackman & Reisman, P.C.   | Authorized to be charged to deposit account  |
| Street Address: 270 Madison Ave., 8th Fl.   | 8. Deposit account number:  07-1730  |
| City: New York State: NY Zip: 10016   |  |
| DO NOT USE  | THIS SPACE   |
| 9. Signature.  Jeffrey M. Kaden   | 12/11/03   |
| Name of Person Signing  | Signature Date   |
| Total number of pages including cover   | sheet, attachments, and documents:   |

01 FC:8021

# Assign ent of Executed Patent plication

Date: December 23=2003

Assignor: TYLER, Michael

Address: 280 River Rd., Apt. 114A

Piscataway, NJ 08854

Assignor: FALCONE, Roberto Address: 134 Donato Drive

Little Falls, NJ 07424

Assignor: REILLY, Joshua Address: 29 Pineoaka Rd. Jackson, NJ 08527

Assignee: The Hartz Mountain Corporation

Address: 400 Plaza Drive

Secaucus, New Jersey 07094

If there is more than one Assignor or Assignee, the words "Assignor" and "Assignee" shall include them.

This assignment relates to a United States patent application signed by Assignor on **November 18** 2003 and entitled

#### COMPOSITION FOR CONDITIONING WATER USED IN AQUARIUMS

This patent application is called "the Patent Application".

Assignor has received Ten Dollars (\$10.00) and other good and valuable consideration for this assignment.

Assignor assigns to Assignee all of Assignor's right, title and interest in the Patent Application, the invention described and claimed in the Patent Application, and all patents that may issue based on the invention and on the Patent Application in the United States and in every foreign country. Assignor also assigns to Assignee all priority rights in the Patent Application.

In those countries where permitted, the Assignor authorizes the Assignee to apply for patents for the invention directly in Assignee's name.\* Assignor authorizes the Commissioner of Patents and Trademarks or other governmental authority to issue all patents for the invention directly to Assignee.

Assignor states that Assignor has the right to grant to Assignee the rights which are assigned by this assignment. Assignor will sign any additional documents as may be needed to carry out the purpose of this assignment.

This assignment is binding on all parties who lawfully succeed to the rights of or take the place of Assignor or Assignee.

The undersigned hereby grant(s) to the firm of Gottlieb, Rackman & Reisman, 270 Madison Avenue, New York, New York 10016-0601, the power to insert on this assignment any further identification of the Patent Application which may be necessary or desirable in order to comply with the rules of the United States Patent and

<sup>\*</sup> Strike italicized sections only if foreign rights are not intended to be assigned.

| . Trademark Offic  | e concerning receptation of this  | s document.  |                          |   |
|--|---|--|--------------------------|---|
| The effect   | ctive date of this assignment is t  | he date at the top o   | f this assignmen         | t.  |
| •  |   |  |                          |   |
| SIGNATURE(S)   |   | DATE   | TYPED OR PRINTED NAME(S) |   |
| Milal First Name   | Middle Initial Last Name  | 12/2/03  | MICHAEL                  | TYLER   |
| First Name N   | P. Last Name  | 12/2/03  | ROBERTO                  | FALCONE   |
| Chelm  | Aiddle Initial Last Name  | 12/2/03  | JOSHUA,                  | REILLY  |
|  | Aiddle Initial Last Name  |  |                          |   |
| STATE OF   | New Tersey  | STATE  | OF                       |   |
| COUNTY OF  |   | COUNT  | Y OF Asset               |   |
| Michael R. Ty<br>and Joshua                                      | 103 before me personally came<br>the Ruberto Falco  | On   | before i                 | me personally came  |
| to me known to be<br>executed the foregoing                      | e the Assignor(s) described in and ang Assignment, and the Assignor(s) execution of the same. | duly executed  |                          | ssignor(s) described in and who ignment, and the Assignor(s) duly on of the same. |
| Notary Publ  | $U_{\mathcal{F}}$   | · ————   | Notary Public            |   |
| STATE OF   | DEBRA A. RODRIGUEZ NOTARY PUBLIC OF NEW JERSEY Commission Explies 4/24/2006                   | STATE  | OF                       |   |
| COUNTY OF  |   | COUNT  | Y OF                     |   |
| On   | before me personally came   | •  | On                       | before me personally came   |
|  |   |  |                          |   |
| to me known to be<br>executed the foregoin<br>acknowledged to me | luly executed   | to me known to be the Assignor(s) described in and who executed the foregoing Assignment, and the Assignor(s) duly acknowledged to me execution of the same. |                          |   |
| Notary Publi   | c   |  | Notary Public            |   |

## **Assignment of Executed Patent Application**

Date: July 5, 2005

Assignor:

IAN COTTRELL 10 Spencer Road

Address: 10

Basking Ridge, New Jersey 07920

**United States** 

Assignee:

THE HARTZ MOUNTAIN CORPORATION

Address:

400 Plaza Drive

Secaucus, New Jersey 07094

**United States** 

If there is more than one Assignor or Assignee, the words "Assignor" and "Assignee" shall include them.

This assignment relates to a United States patent application signed by Assignor and entitled

#### COMPOSITION FOR CONDITIONING WATER USED IN AQUARIUMS

This patent application was filed in the United States Patent and Trademark Office on <u>December 11</u>, <u>2003</u>, and received Serial No. <u>10/735,068</u>

Assignor has received Ten Dollars (\$10.00) and other good and valuable consideration for this assignment.

Assignor assigns to Assignee all of Assignor's rights, title and interest in the Patent Application, the invention described and claimed in the Patent Application, and all patents that may issue based on the invention and on the Patent Application in the United States and in every foreign country. Assignor also assigns to Assignee all priority rights in the Patent Application.

In those countries where permitted, the Assignor authorizes the Assignee to apply for patents for the invention directly in Assignee's name. Assignor authorizes the Commissioner of Patents and Trademarks or other governmental authority to issue all patents for the invention directly to Assignee.

Assignor states that Assignor has the right to grant to Assignee the rights that are assigned by this assignment. Assignor will sign any additional documents as may be needed to carry out the purpose of this assignment.

This assignment is binding on all parties who lawfully succeed to the rights of or take the place of Assignor or Assignee.

The undersigned hereby grant(s) to the firm of Gottlieb, Rackman & Reisman, 270 Madison Avenue, New York, New York 10016-0601, the power to insert on this assignment any further identification of the Patent Application which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office concerning recordation of this document.

<sup>\* \*</sup> Strike italicized sections <u>only</u> if foreign rights are not intended to be assigned.

TYPED OR PRINTED NAME(S)

DATE

SIGNATURE(S)

| Ian        | ω.             | Cottrell  | July 5, 2005 | For W. Cottell |
|------------|----------------|-----------|--------------|----------------|
| First Name | Middle Initial | Last Name | 3            |                |

STATE OF New Jersey COUNTY OF HUDSON

On July 5, 2005 before me personally came

Ian W. Cottrell

to me known to be the Assignor(s) described in and who executed the foregoing Assignment, and the Assignor(s) duly acknowledged to me execution of the same.

Notary Public

SAMUEL SALEEM NOTARY PUBLIC OF NEW JERSEY

MY COMMISSION EXPIRES February 5, 2009